

# Étale theta functions, mono-theta environments, and [IUTchI] §1-§3, I

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## We start from : an initial $\Theta$ -datum

An **initial  $\Theta$ -datum** (initial  $\Theta$ -data in the original paper) is a 7-tuple

$$(\overline{F}/F, X_F, \ell, \underline{C}_K, \underline{V}, \mathbb{V}_{\text{mod}}^{\text{bad}}, \underline{\epsilon})$$

satisfying the following 7 conditions.

**Condition (1).**  $F$  : a number field s.t.  $-1 \in F$ ,  
and  $\overline{F}$ : an alg. closure of  $F$ .

## Condition (2)

$X_F = E_F \setminus \{0\}$ ,  $E_F$ : ell. curve  $/F$  s.t.

- $F$  is Galois over  $F_{\text{mod}} := \mathbb{Q}(j(E_F))$ .
- $E_F$  has good or semistable red. at any  $v \nmid \infty$ .
- $E_F[6](\bar{F}) = E_F[6](F)$ .  
 ( $\implies E_F$  has good or split semistable red. at any  $v \nmid \infty$ .)

## Condition (3)

$\ell$ : a prime number  $\geq 5$ , s.t. the image of

$$\rho_{E_F, \ell} : G_F := \text{Gal}(\bar{F}/F) \rightarrow \text{Aut}_{\mathbb{F}_\ell}(E[\ell](\bar{F})) \cong \text{GL}_2(\mathbb{F}_\ell)$$

contains  $\text{SL}_2(\mathbb{F}_\ell)$ . Set

$$\text{Ker } \rho_{E_F, \ell} =: \text{Gal}(\bar{F}/K).$$

( $\implies K/F$  : Galois). We moreover assume:

- $C_K := (X_F/\pm 1) \otimes_F K$  is a  $K$ -core, i.e. any étale morphism over  $K$  between finite étale coverings of  $C_K$  is over  $C_K$ .

## Condition (4)

$\mathbb{V}_{\text{mod}}^{\text{bad}}$  : a finite set of places of  $F_{\text{mod}}$  s.t.

- $\mathbb{V}_{\text{mod}}^{\text{bad}} \neq \emptyset$
- $v \in \mathbb{V}_{\text{mod}}^{\text{bad}} \implies v \nmid 2l\infty$
- $v \in \mathbb{V}_{\text{mod}}^{\text{bad}} \implies j(E_F) \notin \mathcal{O}_{F_{\text{mod}},v}$   
( $\implies X_F$  : bad red. at any  $w|v$ .)

We do not assume that  $\mathbb{V}_{\text{mod}}^{\text{bad}}$  contains all the bad primes.

## Condition (5)

$\underline{C}_K = \underline{X}_K / \{\pm 1\}$ , where

$\underline{X}_K \rightarrow X_K = X_F \otimes_F K$  : cyclic cov. of deg.  $\ell$ ,  
unramified at the boundary.

$-1 : \underline{X}_K \xrightarrow{\cong} \underline{X}_K$  : a lift of  $-1 : X_K \xrightarrow{\cong} X_K$   
(a lift  $-1 \longleftrightarrow$  a cusp  $\underline{0}$  of  $\underline{X}_K$ ).

So  $(\underline{X}_K, \underline{0})$  comes from an isogeny  $\underline{E}_K \rightarrow E_K$  of  
elliptic curves.

$(\underline{X}_K, \underline{0})$  : unique up to isom. since Image  $\rho_{E_F, \ell}$  :  
large.

$\rightsquigarrow \underline{X}_{\overline{F}}, \underline{C}_{\overline{F}}$  over  $\overline{F}$ .

$$\underline{\Delta}_X \rightarrow \underline{\Delta}_X^\ominus \rightarrow \overline{\Delta}_X, \#\overline{\Delta}_X = \ell^3.$$

$$1 \rightarrow \overline{\Delta}_\Theta \rightarrow \overline{\Delta}_X \rightarrow \overline{\Delta}_X^{\text{ell}} \rightarrow 1,$$

$$\overline{\Delta}_\Theta \cong \mathbb{Z}/\ell\mathbb{Z}, \overline{\Delta}_X^{\text{ell}} \cong (\mathbb{Z}/\ell\mathbb{Z})^{\oplus 2}.$$

$\underline{X}_{\overline{F}} \rightarrow X_{\overline{F}} : \text{degree } \ell \longleftrightarrow \underline{\Delta}_X \subset \overline{\Delta}_X : \text{index } \ell.$

$$1 \rightarrow \overline{\Delta}_\Theta \rightarrow \underline{\Delta}_X \rightarrow \underline{\Delta}_X^{\text{ell}} \rightarrow 1,$$

$$\underline{\Delta}_X^{\text{ell}} \subset \overline{\Delta}_X^{\text{ell}}, \underline{\Delta}_X^{\text{ell}} \cong \mathbb{Z}/\ell\mathbb{Z}.$$

# Recall: Construction of $\underline{\underline{X}}_{\underline{\underline{F}}}$ (continued)

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \overline{\Delta}_{\Theta} & \longrightarrow & \overline{\Delta}_{\underline{X}} & \longrightarrow & \overline{\Delta}_{\underline{X}}^{\text{ell}} \longrightarrow 1 \\
 & & \parallel & & \uparrow & & \uparrow \\
 1 & \longrightarrow & \overline{\Delta}_{\Theta} & \longrightarrow & \overline{\Delta}_{\underline{\underline{X}}} & \longrightarrow & \overline{\Delta}_{\underline{\underline{X}}}^{\text{ell}} \longrightarrow 1.
 \end{array}$$

$\overline{\Delta}_{\underline{X}}$  : abelian,  $\{\pm 1\} \circlearrowleft \overline{\Delta}_{\underline{X}}$

$$\rightsquigarrow \overline{\Delta}_{\underline{X}} = \overline{\Delta}_{\Theta} \times \overline{\Delta}_{\underline{X}}^{\text{ell}}.$$

$\underline{\underline{X}}_{\underline{\underline{F}}} \rightarrow \underline{X}_{\underline{F}}$  : degree  $l \iff \overline{\Delta}_{\underline{\underline{X}}} = \overline{\Delta}_{\underline{X}}^{\text{ell}} \subset \overline{\Delta}_{\Theta}$  index  $l$ .



Condition (6) :  $\underline{\epsilon}$  : a non-zero cusp of  $\underline{C}_K$

$\rightsquigarrow \underline{X}_K, \underline{C}_K$  as follows:

$\exists! f$ : rational function on  $\underline{C}_K$  whose values at  $0, \underline{\epsilon}, "[2](\underline{\epsilon})$  are  $\infty, 0, 1$ , respectively and which has a simple zero at  $\underline{\epsilon}$ .

$\underline{X}_K, \underline{C}_K$  : the (orbi)curve obtained from  $\underline{X}_K, \underline{C}_K$  by adjoining a  $\ell$ -th root of  $f$ .

## Condition (7)

$\underline{\mathbb{V}}$ : a set of places of  $K$  s.t.

- The composite

$$\begin{aligned} \underline{\mathbb{V}} &\hookrightarrow (\text{the places of } K) \\ &\rightarrow (\text{the places of } F_{\text{mod}}) =: \mathbb{V}_{\text{mod}} \end{aligned}$$

is bijective

- Set

$$\underline{\mathbb{V}}^{\text{bad}} := \{ \underline{v} \in \underline{\mathbb{V}} \mid \underline{v} | \exists v \in \mathbb{V}_{\text{mod}}^{\text{bad}} \}.$$

Then for any  $\underline{v} \in \underline{\mathbb{V}}^{\text{bad}}$ ,  $\underline{C}_v = \underline{C}_K \otimes_K K_v$  : type  $(1, \mathbb{Z}/\ell\mathbb{Z})_{\pm}$ .

# An aim

For an initial  $\Theta$ -datum

$$(\overline{F}/F, X_F, \ell, \underline{C}_K, \underline{\mathbb{V}}, \mathbb{V}_{\text{mod}}^{\text{bad}}, \underline{\epsilon})$$

and for  $\underline{v} \in \underline{\mathbb{V}}$ , we will construct

$$\underline{\underline{\mathcal{F}}}_{\underline{v}}, \underline{\underline{\mathcal{C}}}_{\underline{v}}, \underline{\underline{\mathcal{C}}}_{\underline{v}}^{\dagger}, \underline{\underline{\tau}}_{\underline{v}}^{\dagger}, \underline{\underline{\mathcal{C}}}_{\underline{v}}^{\Theta}, \underline{\underline{\tau}}_{\underline{v}}^{\Theta}$$

where ...

# An aim (continued)

where

- $\underline{\underline{\mathcal{F}}}_{\underline{v}}$  : a Frobenioid when  $\underline{v} \nmid \infty$
- $\mathcal{C}_{\underline{v}}, \mathcal{C}_{\underline{v}}^{\dagger}, \mathcal{C}_{\underline{v}}^{\ominus}$  :  $p_{\underline{v}}$ -adic (resp. archimedean) Frobenioids if  $\underline{v} \nmid \infty$  (resp.  $\underline{v} | \infty$ ) (so its divisor monoid is monoprime).
- $\tau_{\underline{v}}^{\dagger}, \tau_{\underline{v}}^{\ominus}$  : characteristic splittings ( $\doteq$  splitting of the inclusion of functors “ $\mathcal{O}^{\times} \subset \mathcal{O}^{\triangleright}$ ”) of  $\mathcal{C}_{\underline{v}}^{\dagger}, \mathcal{C}_{\underline{v}}^{\ominus}$

## On Next Slides

Construction of  $\underline{\underline{\mathcal{F}}}_{\underline{v}}$  is hard when  $\underline{v} \in \underline{\mathbb{V}}^{\text{bad}}$ . In the next slides we will focus on this case.

## Bad local situation

$K$  : a finite ext. of  $\mathbb{Q}_p$ .

$E$  : a Tate elliptic curve /  $K$  s.t.

- $E[2](\overline{K}) = E[2](K)$
- $X^{\log} = (X, 0)$  : not  $K$ -arithmetic

$\mathfrak{X}^{\log}$  : stable model of  $X^{\log}$  over

$\mathfrak{S}^{\log} = (\text{Spec } \mathcal{O}_K, \text{ the closed point})$ .

(so the special fiber of  $\mathfrak{X}^{\log}$  is irreducible with one singular point, at which the formal completion is of the form  $\mathcal{O}_K[[u, v]]/(uv - q_E)$ )

$Y^{\log} \rightarrow X^{\log}$  : the topological  $\underline{\mathbb{Z}}$ -covering

Fix an odd integer  $\ell \geq 5$  satisfying

$$E[\ell](\overline{K}) = E[\ell](K).$$

$$Y^{\log} \rightarrow \underline{X}^{\log} \rightarrow X^{\log}, \quad \deg(\underline{X}) = \ell.$$

$$\rightsquigarrow \underline{\underline{X}}^{\log}, \underline{\underline{Y}}^{\log}.$$

We have a cartesian diagram:

$$\begin{array}{ccccc} \underline{\underline{Y}}^{\log} & \longrightarrow & \underline{\underline{X}}^{\log} & & \\ \downarrow & & \downarrow & & \\ Y^{\log} & \longrightarrow & \underline{X}^{\log} & \longrightarrow & X^{\log}. \end{array}$$

Choose  $\dot{X}^{\log} \rightarrow X$ , degree 2, unramified at 0.  
 Taking composite with  $\dot{X}$ , we have

$$\begin{array}{ccccc}
 \underline{\underline{\ddot{Y}^{\log}}} & \longrightarrow & \underline{\underline{\dot{X}^{\log}}} & & \\
 \downarrow & & \downarrow & & \\
 \ddot{Y}^{\log} & \longrightarrow & \underline{\dot{X}^{\log}} & \longrightarrow & \dot{X}^{\log}.
 \end{array}$$



# Action of $\pm 1$

We can take a lift of  $-1 : X \rightarrow X$  to an involution of

$$\begin{array}{ccccc}
 \underline{\underline{\dot{X}}}^{\log} & \longrightarrow & \underline{\underline{\dot{X}}}^{\log} & \longrightarrow & \dot{X}^{\log} \\
 \downarrow & & \downarrow & & \downarrow \\
 \underline{\underline{X}}^{\log} & \longrightarrow & \underline{\underline{X}}^{\log} & \longrightarrow & X^{\log}
 \end{array}$$

Passing to the quotient by  $\{\pm 1\}$  we have

$$\begin{array}{ccccc}
 \underline{\underline{\dot{C}}}^{\log} & \longrightarrow & \underline{\dot{C}}^{\log} & \longrightarrow & \dot{C}^{\log} \\
 \downarrow & & \downarrow & & \downarrow \\
 \underline{\underline{C}}^{\log} & \longrightarrow & \underline{C}^{\log} & \longrightarrow & C^{\log}.
 \end{array}$$

## The Next Slides

In the bad local situation, we will construct a Frobenioid

$$\underline{\underline{\mathcal{F}}}.$$

Recall: a **Frobenioid** is a category with some additional structures. (In our case, it turns out that the additional structures can be recovered from the underlying category. We often regard  $\underline{\underline{\mathcal{F}}}$  just as a category.)

# Frobenioid

Recall: a Frobenioid is a quadruple

$(\mathcal{F}, \mathcal{D}, \Phi, \mathcal{F} \rightarrow \mathbb{F}_\Phi)$ , where

- $\mathcal{F}$  : a category,
- $\mathcal{D}$  : a connected, totally epimorphic cat. (=  $E$ -cat.)
- $\Phi$  : a divisorial monoid on  $\mathcal{D}$  ( $\rightsquigarrow \mathbb{F}_\Phi$  the associated category)
- $\mathcal{F} \rightarrow \mathbb{F}_\Phi$  : a covariant functor,

that satisfies a lot of technical conditions. The underlying category is  $\mathcal{F}$ . The category  $\mathcal{D}$  is called the **base category**, and  $\Phi$  the **divisor monoid**.

# A Typical Example

$\mathcal{D}$  : a cat. of connected regular noeth. schemes.

Assume  $\mathcal{D}$  :  $E$ -cat.

$\Phi$ : the monoid on  $\mathcal{D}$  given by

$$\Phi(X) = (\text{effective divisors on } X).$$

$\rightsquigarrow$  a Frobenioid  $\mathcal{F}$  defined as follows:

## A Typical Example (continued)

$\mathcal{F}$  : category of pairs  $(X, \mathcal{L})$  where

- $X$  : an object of  $\mathcal{D}$
- $\mathcal{L}$  an invertible  $\mathcal{O}_X$ -module

A morphism  $\phi : (X, \mathcal{L}) \rightarrow (Y, \mathcal{L}')$  is a triple  $(\phi_{\mathcal{D}}, n_{\phi}, \iota_{\phi})$  where

- $\phi_{\mathcal{D}} : X \rightarrow Y$  : a morphism of  $\mathcal{D}$
- $n_{\phi}$  : an integer  $\geq 1$
- $\iota_{\phi} : \mathcal{L}^{\otimes n_{\phi}} \hookrightarrow \phi_{\mathcal{D}}^* \mathcal{L}'$  an injection

This gives an example of model Frobenioids.

# Model Frobenioids

To a quadruple  $(\mathcal{D}, \Phi, \mathbb{B}, \text{Div}_{\mathbb{B}})$  where

- $\mathcal{D}$  :  $E$ -category
- $\Phi$  : a divisorial monoid on  $\mathcal{D}$  ( $\rightsquigarrow \Phi^{\text{gp}}$  is also a monoid on  $\mathcal{D}$ )
- $\mathbb{B}$  : a group-like monoid on  $\mathcal{D}$
- $\text{Div}_{\mathbb{B}} : \mathbb{B} \rightarrow \Phi^{\text{gp}}$  : homomorphism,

we can associate the following Frobenioid  $\mathcal{F}$ .

## Model Frobenioids (continued)

... we can associate the following Frobenioid  $\mathcal{F}$ .

**Objects:** pairs  $(A, \alpha)$  of  $A \in \text{Obj}(\mathcal{D})$ ,  $\alpha \in \Phi^{\text{gp}}(A)$

**Morphisms:** a morphism  $(A, \alpha) \rightarrow (B, \beta)$  is a quadruple  $(\phi_{\mathcal{D}}, Z_{\phi}, n_{\phi}, u_{\phi})$ , where  $(\phi_{\mathcal{D}}, Z_{\phi}, n_{\phi})$  is a morphism of  $\mathbb{F}_{\phi}$  and  $u_{\phi} \in \mathbb{B}(A)$ , such that

$$n_{\phi}\alpha + Z_{\phi} = \phi_{\mathcal{D}}^*\beta + \text{Div}_{\mathbb{B}}(u_{\phi})$$

in  $\Phi(A)^{\text{gp}}$ .

Such a Frobenioid  $\mathcal{F}$  is called a model Frobenioid.

The Frobenioid  $\underline{\underline{\mathcal{F}}}$  that we would like to construct in the bad local situation is a model Frobenioid.



# Construction of $\underline{\underline{\mathcal{F}}}(1)$ : the base category

We construct the base category  $\mathcal{D}$ .

Let  $\mathcal{D} = \mathbb{B}^{\text{tp}}(\underline{\underline{X}})^\circ$  : cat. of connected tempered coverings of  $\underline{\underline{X}}^{\text{log}}$ .

# Construction of $\underline{\underline{\mathcal{F}}}$ (2) : the divisor monoid

We construct the divisor monoid  $\Phi$  on  $\mathcal{D}$ .

For any object  $B$  of  $\mathcal{D}$ , let

$B^{\text{ell}}$  : the maximal subcovering of the composite  $B \rightarrow \underline{\underline{X}}^{\log} \rightarrow X^{\log}$  s.t.  $B^{\text{ell}}$  is unramified at the cusp of  $X^{\log}$ .

# Construction of $\underline{\mathcal{F}}$ (2) (continued)

The divisor monoid is roughly

$$B \mapsto \Phi(B) := \text{“DIV}_+(\mathfrak{B}^{\text{ell}})^{\text{pf}}\text{”},$$

where

$\mathfrak{B}^{\text{ell}}$  : the stable model of  $B^{\text{ell}}$ .

$\text{DIV}_+$  : the effective divisors supported on the union of the special fiber and the cusps

$\text{pf}$  : perfection (e.g.,  $(\mathbb{Z}_{\geq 0})^{\text{pf}} = \mathbb{Q}_{\geq 0}$ )

**N.B.** The actual definition is more complicated.

## Construction of $\underline{\mathcal{F}}$ (2) (remark)

We regard  $\Phi(B)$  as a submonoid of  $\Phi_0$ , defined roughly as

$$\Phi_0(B) = \text{“DIV}_+(\mathfrak{B})^{\text{pf}}\text{”}.$$

when  $B$  admits a suitable stable model  $\mathfrak{B}$ .

**N.B.** The actual definition is more complicated, and is given by introducing the notion of divisors on universal combinatorial coverings and then by doing some “sheafification” process.

## Construction of $\underline{\mathcal{F}}(3)$ : the remaining structure

We construct the group-like monoid  $\mathbb{B}$  on  $\mathcal{D}$ .

$$\mathbb{B}_0 : B \mapsto \text{“}\{f : \text{log-merom. on } \mathfrak{B}\}\text{”}.$$

when  $B$  admits a suitable stable model  $\mathfrak{B}$ .

**N.B.** The actual definition is more complicated,  
Here log-merom. = mero. func.  $f$  on  $B$  s.t. for  $\forall N$ ,  
 $f$  admits a  $N$ -th root in a tempered covering of  $B$ .

$$\mathbb{B}(B) = \{f \in \mathbb{B}_0(B) \mid \text{div}(f) \in \Phi(B)\}.$$

$\text{Div}_{\mathbb{B}}$  : the restriction of  $\text{div}$ .

# Properties of $\underline{\underline{\mathcal{F}}}$

$(\mathcal{D}, \Phi, \mathbb{B}, \text{Div}_{\mathbb{B}}) \rightsquigarrow$  a model Frobenioid  $\underline{\underline{\mathcal{F}}}$ .

The Frobenioid  $\underline{\underline{\mathcal{F}}}$  has the following properties:

- $\mathcal{D}$  : slim, of FSMFF type
- $\Phi$  : perfect, perf-factorial, non-dilating, cuspidally pure, rational
- $\underline{\underline{\mathcal{F}}}$  : of unit-profinite type, of isotropic type, of model type, of sub-quasi-Frobenius trivial type, not of group-like type, of standard type, of rationally standard type

(I will not explain the terminology appeared here.)

# Consequence

As a consequence, we can reconstruct

$$\mathcal{D}, \Phi, \text{ and } \underline{\underline{\mathcal{F}}} \rightarrow \mathbb{F}_\Phi \rightarrow \mathcal{D}$$

category theoretically from  $\underline{\underline{\mathcal{F}}}$ .

# The Base-Field-Theoretic Hull $\mathcal{C}$

$\mathbb{F}_0 \subset \mathbb{B}_0$  ; submonoid of constant functions.  
 $\implies \mathbb{F}_0 \subset \mathbb{B} \subset \mathbb{B}_0$ .

$$\Phi^{\text{bs-fld}} := \mathbb{Q}_{>0} \cdot \text{Image}(\mathbb{F}_0 \rightarrow \Phi_0^{\text{gp}}) \cap \Phi.$$

$(\mathcal{D}, \Phi^{\text{bs-fld}}, \mathbb{F}_0, \mathbb{F}_0 \rightarrow (\Phi^{\text{bs-fld}})^{\text{gp}})$   
 $\rightsquigarrow$  the model Frobenioid  $\mathcal{C}$  (called the  
**base-field-theoretic hull** of  $\underline{\mathcal{F}}$ ).

One can reconstruct  $\mathcal{C}$  category theoretically from  
 $\underline{\mathcal{F}}$ .



## Next Slides

We will go back to the global situation.

In later pages, we will give a closer look at the bad local situation.

Let us go back to our first setting, i.e.

An initial  $\Theta$ -datum

$$(\overline{F}/F, X_F, \ell, \underline{C}_K, \underline{V}, \mathbb{V}_{\text{mod}}^{\text{bad}}, \underline{\epsilon})$$

is given.

For every  $\underline{v} \in \mathbb{V}$ , we will construct  $\underline{\mathcal{F}}_{\underline{v}}$  (which is a Frobenioid when  $\underline{v} \nmid \infty$ ),  $p_{\underline{v}}$ -adic or archimedean Frobenioids  $\mathcal{C}_{\underline{v}}$ ,  $\mathcal{C}_{\underline{v}}^+$ ,  $\mathcal{C}_{\underline{v}}^\ominus$  and characteristic splittings  $\tau_{\underline{v}}^+$ ,  $\tau_{\underline{v}}^\ominus$  of  $\mathcal{C}_{\underline{v}}^+$  and  $\mathcal{C}_{\underline{v}}^\ominus$ .

We divide the situation into the following three cases

- $\underline{v} \in \mathbb{V}^{\text{bad}}$
- $\underline{v} \notin \mathbb{V}^{\text{bad}}$ ,  $\underline{v} \nmid \infty$
- $\underline{v} \mid \infty$

# Construction of the Frobenioids (1) :

when  $\underline{v} \in \mathbb{V}^{\text{bad}}$

$\underline{\underline{\mathcal{F}}}_{\underline{v}}$  : the Frobenioid  $\underline{\underline{\mathcal{F}}}$  in the bad local situation. In particular its base category is  $\mathcal{D}_{\underline{v}} = B^{\text{tp}}(\underline{\underline{X}}_{\underline{v}})^{\circ}$ .

$\mathcal{C}_{\underline{v}} \subset \underline{\underline{\mathcal{F}}}$  : the base-field theoretic hull.

Set  $\mathcal{D}_{\underline{v}}^{\dagger} := B(\text{Spec } K_{\underline{v}})^{\circ}$ .

We have an adjunction

$$\mathcal{D}_{\underline{v}} \rightleftarrows \mathcal{D}_{\underline{v}}^{\dagger}$$

## Next Slides

We will construct a Frobenioid  $\mathcal{C}_{\underline{v}}^{\dagger}$  whose base category is  $\mathcal{D}_{\underline{v}}^{\dagger}$ . We need preliminaries.

# Recall: $\mathcal{O}^\triangleright(\ )$ and $\mathcal{O}^\times(\ )$

For a Frobenioid  $\mathcal{F}$  and an object  $A$  of  $\mathcal{C}$ , we set

$$\mathcal{O}^\triangleright(A) := \{f : A \rightarrow A \mid f \mapsto (\text{id}, *, 1) \text{ under } \mathcal{F} \rightarrow \mathbb{F}_\Phi\},$$

$$\mathcal{O}^\times(A) = (\mathcal{O}^\triangleright(A))^\times, \text{ and}$$

$$\mu_N(A) = \text{Ker}(N : \mathcal{O}^\times(A) \rightarrow \mathcal{O}^\times(A)).$$

# Notation

For  $W \in \text{Obj}(\mathcal{D}_{\underline{v}})$ , let  $\mathbb{T}_W = (W, 0)$  denote the Frobenius trivial object lying over  $W$ .

We use the subscript  $\underline{v}$  to denote objects of  $\text{Obj}(\mathcal{D}_{\underline{v}})$  introduced in the bad local situation, when  $X^{\log} = (E_{K_{\underline{v}}}, 0)$ .

$$q_{\underline{v}} := q_{\underline{v}}^{1/2\ell}$$

$q_{\underline{v}}$  : the  $q$ -parameter of  $E_{K_{\underline{v}}}/K_{\underline{v}}$ . We regard  $q_{\underline{v}}$  as an element of  $\mathcal{O}^{\triangleright}(\mathbb{T}_{\underline{X}_{\underline{v}}})$

The assumption on  $E_F[2]$  and the definition of  $K \implies q_{\underline{v}}$  admits a  $2\ell$ -th root  $q_{\underline{v}} := q_{\underline{v}}^{1/2\ell}$  in  $\mathcal{O}^{\triangleright}(\mathbb{T}_{\underline{X}_{\underline{v}}})$ .



$\mathcal{C}_{\underline{v}}^{\dagger}$  and  $\tau_{\underline{v}}^{\dagger}$ 

$\underline{q}$  defines the constant section  $\mathbb{N}_{\mathcal{D}_{\underline{v}}} \hookrightarrow \mathcal{C}_{\underline{v}}$  of  $\Phi_{\mathcal{C}_{\underline{v}}}$ :  
 $\underline{q}$  the divisorial monoid for  $\mathcal{C}_{\underline{v}}$ . Denote this section by  $\log(\underline{q})$ .

Set

$$\Phi_{\mathcal{C}_{\underline{v}}^{\dagger}} = \mathbb{N} \cdot \log(\underline{q})|_{\mathcal{D}_{\underline{v}}^{\dagger}} \subset \Phi_{\mathcal{C}_{\underline{v}}}|_{\mathcal{D}_{\underline{v}}^{\dagger}}.$$

$\rightsquigarrow$  ( $p_{\underline{v}}$ -adic)  $\mathcal{C}_{\underline{v}}^{\dagger}$  whose base category is  $\mathcal{D}_{\underline{v}}^{\dagger}$ .

$\underline{q} \in K_{\underline{v}} \rightsquigarrow \underline{q}$  defines a characteristic splitting  $\tau_{\underline{v}}^{\dagger}$   
 modulo  $\mu_{2\ell}$ .

## Next Slides

We will construct a Frobenioid  $\mathcal{C}_{\underline{v}}^{\Theta}$  and its base category is  $\mathcal{D}_{\underline{v}}^{\Theta}$ . We again need preliminaries.



Let us regard  $\underline{\underline{Y}}_{\underline{v}}$  as an object of  $\mathcal{D}_{\underline{v}}$  via  $\underline{\underline{Y}}_{\underline{v}} \rightarrow \underline{\underline{X}}_{\underline{v}}$ .

$\underline{\underline{\Theta}}_{\underline{v}} \in \mathcal{O}^{\times}(\mathbb{T}_{\underline{\underline{Y}}_{\underline{v}}}^{\div})$  : the inverse of the Frobenioid theoretic  $\ell$ -th root of theta function. Here the superscript  $\div$  means the biratioalization (i.e., localization with respect to the pre-steps).

# Remark. Relation of $\Theta_{\underline{v}}$ with $q_{\underline{v}}$

We have  $\Theta_{\underline{v}}(\sqrt{-q_{\underline{v}}}) = q_{\underline{v}}$ .

(Note. Both  $\Theta_{\underline{v}}$  and  $q_{\underline{v}}$  are determined only up to  $\mu_{2\ell}(\mathbb{T}_{X_{\underline{v}}})$ .)

# The base category $\mathcal{D}_{\underline{v}}^{\Theta}$

$\mathcal{D}_{\underline{v}}^{\Theta} \subset (\mathcal{D}_{\underline{v}})_{\underline{\ddot{Y}}_{\underline{v}}}$  the full subcat. whose obj. are the products of objects of  $\mathcal{D}_{\underline{v}}^+$  and  $\underline{\ddot{Y}}_{\underline{v}}$ .

$\implies \mathcal{D}_{\underline{v}}^+ \cong \mathcal{D}_{\underline{v}}^{\Theta}$  : equivalence.

Define  $\mathcal{O}_{\mathcal{C}_{\underline{v}}^{\Theta}}^{\triangleright}$ : monoid on  $\mathcal{D}_{\underline{v}}^{\Theta}$  as

$$A^{\Theta} \mapsto \mathcal{O}^{\times}(\mathbb{T}_{A^{\Theta}})(\underline{\Theta}_{\underline{v}} |_{\mathbb{T}_{A^{\Theta}}})^{\mathbb{N}} \subset \mathcal{O}^{\times}(\mathbb{T}_{A^{\Theta}}^{\dot{+}}).$$

$\implies \mathcal{O}_{\mathcal{C}_{\underline{v}}^+}^{\times}(-) \cong \mathcal{O}_{\mathcal{C}_{\underline{v}}^{\Theta}}^{\times}(-) := \mathcal{O}_{\mathcal{C}_{\underline{v}}^{\Theta}}^{\triangleright}(-)^{\times}$ .

# The Frobenioid $\mathcal{C}_{\underline{v}}^{\Theta}$

$$\implies \mathcal{O}_{\mathcal{C}_{\underline{v}}^{\dagger}}^{\triangleright}(-) \cong \mathcal{O}_{\mathcal{C}_{\underline{v}}^{\Theta}}^{\triangleright}(-), \quad \underline{q}_{\underline{v}} \mapsto \underline{\Theta}_{\underline{v}} |_{\mathbb{T}_{A^{\Theta}}}.$$

$\rightsquigarrow$   $p$ -adic Frobenioid  $\mathcal{C}_{\underline{v}}^{\Theta} \subset \underline{\mathcal{F}}_{\underline{v}}^{\dagger}$  whose base cat. is  $\mathcal{D}_{\underline{v}}^{\Theta}$  and a characteristic splitting  $\tau_{\underline{v}}^{\Theta}$  modulo  $\mu_{2\ell}$  such that

$$\mathcal{F}_{\underline{v}}^{\dagger} := (\mathcal{C}_{\underline{v}}^{\dagger}, \tau_{\underline{v}}^{\dagger}) \cong \mathcal{F}_{\underline{v}}^{\Theta} := (\mathcal{C}_{\underline{v}}^{\Theta}, \tau_{\underline{v}}^{\Theta}).$$

# Theorem

We can reconstruct the followings category theoretically from  $\underline{\underline{\mathcal{F}}}_{\underline{v}}$ :

- $\mathcal{D}_{\underline{v}}, \mathcal{D}_{\underline{v}}^{\dagger}, \mathcal{D}_{\underline{v}}^{\Theta}$
- $\mathcal{C}_{\underline{v}}, \mathcal{C}_{\underline{v}}^{\dagger}, \mathcal{C}_{\underline{v}}^{\Theta}$
- $\tau_{\underline{v}}^{\dagger}, \tau_{\underline{v}}^{\Theta}$